

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
210121.465APPLICATION NO.  
09/164,223

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANTS

Alexander Gaiger and Martin A. Cheever

FILING DATE

September 30, 1998

GROUP ART UNIT

1643

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
P31	AA	5,350,840	09/27/94	Call et al.	536	23.1	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
P31	AL	WO 91/07509	05/30/91	PCT		
	AM					
	AN					
	AO					
	AP					

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

P31	AR	Rackley et al., "Expression of the Wilms' Tumor Suppressor Gene <i>WT1</i> during Mouse Embryogenesis," <i>Cell Growth &amp; Differentiation</i> 4: 1023-1031, 1993.
↓	AS	Menssen et al., "Presence of Wilms' tumor gene ( <i>wt1</i> ) transcripts and the WT1 nuclear protein in the majority of human acute leukemias," <i>Leukemia</i> 9: 1060-1067, 1995.
↓	AT	Larsson et al., "Subnuclear Localization of WT1 in Splicing or Transcription Factor Domains Is Regulated by Alternative Splicing," <i>Cell</i> 81: 391-401, 1995.

EXAMINER


DATE CONSIDERED

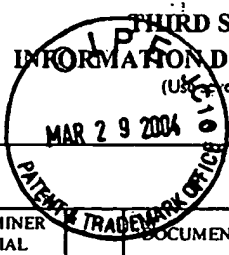

4/16/99

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<div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 2px solid black; border-radius: 50%; padding: 10px; text-align: center; margin-right: 10px;"> <b>OFFICE OF PATENT &amp; TRADEMARK</b> MAR 29 2004         </div> <div> <b>THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>  <small>(Use several sheets if necessary)</small> </div> </div>				APPLICANTS Alexander Gaiger et al.		
				FILING DATE September 30, 1998		GROUP ART UNIT 1644
<b>U.S. PATENT DOCUMENTS</b>						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
M)	AA	5,633,142	05/27/97	Herlyn et al.	435	7.23
	AB	5,670,317	09/23/97	Ladanyi et al.	435	6
↓	AC	5,693,522	12/02/97	Chada et al.	435	2.402
	AD	5,726,288	03/10/98	<del>Call et al.</del>	<del>530</del>	<del>350</del>
M)	AE	6,034,235	03/07/00	Sugiyama et al.	536	24.5
M)	AF	6,277,832	08/21/01	Sugiyama et al.	514	44
<b>FOREIGN PATENT DOCUMENTS</b>						
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
M)	AG	EP 1004319 A1	05/31/00	EPO		
M)	AH	EP 1103564 A1	05/30/01	EPO		
M)	AI	JP 11-89596 A	04/06/99	Japan		X
M)	AJ	JP 11-89599 A	04/06/99	Japan		X
M)	AK	WO 94/21287	09/29/94	WIPO		
	AL	<del>WO 95/29995</del>	<del>11/09/95</del>	<del>WIPO</del>		
M)	AM	WO 96/38176	12/05/96	WIPO		X
M)	AN	WO 99/03506	01/28/99	WIPO		X
M)	AO	WO 00/06602	02/10/00	WIPO		X
M)	AP	WO 01/60970	08/23/01	WIPO		
M)	AQ	WO 01/94629	12/13/01	WIPO		
M)	AR	WO 02/00677	01/03/02	WIPO		
<b>OTHER PRIOR ART</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>						
M)	AS	Oka, Y. et al., "Wilms Tumor Gene Peptide-Based Immunotherapy for Patients with Overt Leukemia from Myelodysplastic Syndrome (MDS) or MDS with Myelofibrosis," <i>International Journal of Hematology</i> 78: 56-61, 2003.				
EXAMINER				DATE CONSIDERED		
				11/29/05		
* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).						

already  
of recordalready  
of record

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<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
✓ M1	BA 6,316,599 B1	11/13/01	Call et al.	530	387.7		
<b>FOREIGN PATENT DOCUMENTS</b>							
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				YES NO			
	BB						
<b>OTHER PRIOR ART</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							
B3	BC		GenBank Database, Accession No. A39692, February 16, 1997.				
↓	BD		GenBank Database, Accession No. AAA36810, June 15, 1990.				
	BE		GenBank Database, Accession No. AAA62825, October 27, 1994.				
	BF		GenBank Database, Accession No. AAB33427, May 12, 1995.				
	BG		GenBank Database, Accession No. AAB33443, July 11, 1995.				
B3	BH		GenBank Database, Accession No. AAC60039, November 8, 1996.				
↓	BI		GenBank Database, Accession No. BAA94794, April 21, 2000.				
	BJ		GenBank Database, Accession No. CAA35956, May 29, 1991.				
	BK		GenBank Database, Accession No. CAA43819, December 3, 1993.				
	BL		GenBank Database, Accession No. CAA59736, February 13, 1996.				
M3	BM		GenBank Database, Accession No. I51960, November 5, 1999.				
M1	BN		GenBank Database, Accession No. M30393, June 15, 1990.				
EXAMINER 				DATE CONSIDERED 11/29/01			
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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
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<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION			
				YES NO			
CB							
<b>OTHER PRIOR ART</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
MS	CC		GenBank Database, Accession No. NM_000378, November 5, 2000.				
	CD		GenBank Database, Accession No. NM_024424, March 20, 2001.				
	CE		GenBank Database, Accession No. NM_024426, March 20, 2001.				
	CF		GenBank Database, Accession No. NP_000369, November 5, 2000.				
	CG		GenBank Database, Accession No. NP_077742, March 20, 2001.				
	CH		GenBank Database, Accession No. NP_077743, March 20, 2001.				
	CI		GenBank Database, Accession No. NP_077744, March 20, 2001.				
	CJ		GenBank Database, Accession No. NP_113722, April 6, 2003.				
	CK		GenBank Database, Accession No. O62651, November 1, 1998.				
	CL		GenBank Database, Accession No. P50902, October 1, 1996.				
	CM		GenBank Database, Accession No. S75264, July 11, 1995.				
✓	CN		GenBank Database, Accession No. X51630, May 29, 1991.				
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## U.S. PATENT DOCUMENTS


*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DA						

## FOREIGN PATENT DOCUMENTS


	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
DB					

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)


M)	DC	Geneseq Database, Accession No. AAT45130, August 19, 1997.
	DD	Geneseq Database, Accession No. AAT45131, August 20, 1997.
	DE	Geneseq Database, Accession No. AAT45132, August 20, 1997.
	DF	Geneseq Database, Accession No. AAT45133, August 20, 1997.
	DG	Geneseq Database, Accession No. AAT45134, August 20, 1997.
	DH	Geneseq Database, Accession No. AAT45135, August 20, 1997.
	DI	Geneseq Database, Accession No. AAT45136, August 20, 1997.
	DJ	Geneseq Database, Accession No. AAT45137, August 20, 1997.
	DK	Geneseq Database, Accession No. AAT45138, August 20, 1997.
	DL	Geneseq Database, Accession No. AAT45139, August 20, 1997.
	DM	Geneseq Database, Accession No. AAT45140, August 20, 1997.
2	DN	Geneseq Database, Accession No. AAT45141, August 20, 1997.

EXAMINER	DATE CONSIDERED
	11/24/05

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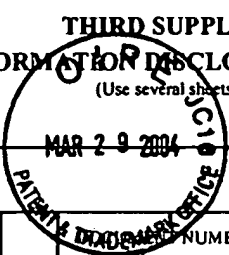




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EA							
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY			TRANSLATION YES NO	
EB							
<b>OTHER PRIOR ART</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
M1	EC		Geneseq Database, Accession No. AAT45142, August 20, 1997.				
	ED		Geneseq Database, Accession No. AAT97855, March 9, 1998.				
	EE		Geneseq Database, Accession No. AAT97856, March 9, 1998.				
	EF		Geneseq Database, Accession No. AAT97857, March 9, 1998.				
	EG		Geneseq Database, Accession No. AAT97858, March 9, 1998.				
	EH		Geneseq Database, Accession No. AAT97859, March 9, 1998.				
	EI		Geneseq Database, Accession No. AAT97860, March 9, 1998.				
	EJ		Geneseq Database, Accession No. AAT97861, March 9, 1998.				
	EK		Geneseq Database, Accession No. AAT97862, March 9, 1998.				
	EL		Geneseq Database, Accession No. AAT97863, March 9, 1998.				
	EM		Geneseq Database, Accession No. AAT97864, March 9, 1998.				
	EN		Geneseq Database, Accession No. AAT97865, March 9, 1998.				
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<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY			TRANSLATION YES NO	
FB							
<b>OTHER PRIOR ART</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
ms	FC		Geneseq Database, Accession No. AAT97866, March 9, 1998.				
	FD		Geneseq Database, Accession No. AAT97867, March 9, 1998.				
	FE		Geneseq Database, Accession No. AAT97868, March 9, 1998.				
	FF		Geneseq Database, Accession No. AAX15839, May 11, 1999.				
	FG		Geneseq Database, Accession No. AAX15840, May 11, 1999.				
	FH		Geneseq Database, Accession No. AAX15841, May 11, 1999.				
	FI		Geneseq Database, Accession No. AAX15842, May 11, 1999.				
	FJ		Geneseq Database, Accession No. AAX15843, May 11, 1999.				
	FK		Geneseq Database, Accession No. AAX15844, May 11, 1999.				
	FL		Geneseq Database, Accession No. AAX15845, May 11, 1999.				
	FM		Geneseq Database, Accession No. AAX15846, May 11, 1999.				
	FN		Geneseq Database, Accession No. AAX15847, May 11, 1999.				
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GA							
<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION			
				YES NO			
	GB						
<b>OTHER PRIOR ART</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							
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	GE		Geneseq Database, Accession No. AAX15850, May 11, 1999.				
	GF		Geneseq Database, Accession No. AAX15851, May 11, 1999.				
	GG		Geneseq Database, Accession No. AAX15852, May 11, 1999.				
	GH		Geneseq Database, Accession No. AAX15853, May 11, 1999.				
	GI		Geneseq Database, Accession No. AAX15854, May 11, 1999.				
	GJ		Geneseq Database, Accession No. AAX15855, May 11, 1999.				
	GK		Geneseq Database, Accession No. AAX23927, June 25, 1999.				
	GL		Geneseq Database, Accession No. AAX23928, June 25, 1999.				
	GM		Geneseq Database, Accession No. AAX23929, June 25, 1999.				
	GN		Geneseq Database, Accession No. AAX23930, June 25, 1999.				
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<b>FOREIGN PATENT DOCUMENTS</b>							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION			
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<b>OTHER PRIOR ART</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
HC	Geneseq Database, Accession No. AAX23931, June 25, 1999.						
HD	Geneseq Database, Accession No. AAX34315, July 6, 1999.						
HE	Geneseq Database, Accession No. AAX34316, July 6, 1999.						
HF	Geneseq Database, Accession No. AAX34317, July 6, 1999.						
HG	Geneseq Database, Accession No. AAX34318, July 6, 1999.						
HH	Geneseq Database, Accession No. AAX34319, July 6, 1999.						
HI	Geneseq Database, Accession No. AAX34320, July 6, 1999.						
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HN	Geneseq Database, Accession No. AAY80199, May 24, 2000.						
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